- 1 Title: Evaluation of FluSight influenza forecasting in the 2021-22 and 2022-23 seasons with a
- 2 new target laboratory-confirmed influenza hospitalizations
- 3 Abstract:
- 4 Accurate forecasts can enable more effective public health responses during seasonal influenza
- 5 epidemics. Forecasting teams were asked to provide national and jurisdiction-specific
- 6 probabilistic predictions of weekly confirmed influenza hospital admissions for one through four
- 7 weeks ahead for the 2021-22 and 2022-23 influenza seasons.
- 8 Across both seasons, 26 teams submitted forecasts, with the submitting teams varying between
- 9 seasons. Forecast skill was evaluated using the Weighted Interval Score (WIS), relative WIS,
- 10 and coverage.
- 11 Six out of 23 models outperformed the baseline model across forecast weeks and locations in
- 12 2021-22 and 12 out of 18 models in 2022-23. Averaging across all forecast targets, the FluSight
- ensemble was the 2<sup>nd</sup> most accurate model measured by WIS in 2021-22 and the 5<sup>th</sup> most
- accurate in the 2022-23 season. Forecast skill and 95% coverage for the FluSight ensemble
- and most component models degraded over longer forecast horizons and during periods of
- 16 rapid change.
- 17 Current influenza forecasting efforts help inform situational awareness, but research is needed
- to address limitations, including decreased performance during periods of changing epidemic
- 19 dynamics.
- 20

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#### 83 Introduction

- 84 Traditional influenza surveillance systems provide a comprehensive picture of influenza activity
- in the United States [1, 2, 3] and are fundamental for situational awareness and risk
- communication. However, they measure influenza activity after it has occurred, and do not
- 87 directly anticipate future trends to inform risk assessment and healthcare preparedness. To
- 88 address these limitations, the Centers for Disease Control and Prevention (CDC) has supported
- open influenza forecasting challenges since the 2013–14 season [4]. This collaborative process
- 90 (named FluSight) has ensured that forecasting targets are relevant to public health. Additionally,
- 91 forecast data are openly available, which enables transparent evaluation of forecast
- 92 performance [5, 6].
- 93 Originally the FluSight collaboration focused on short-term forecasts of outpatient influenza-like-
- 94 illness (ILI) rates from ILINet [2] and corresponding results have been summarized previously
- 95 [4, 5, 6]. However, the COVID-19 pandemic resulted in changes in outpatient care-seeking
- 96 behavior, and the continued co-circulation of SARS-CoV-2 has further complicated the
- 97 interpretation of ILI data. In the 2021–22 influenza season, the FluSight forecast target shifted to
- the weekly number of hospital patients admitted with laboratory-confirmed influenza from the
- Health and Human Services (HHS) Patient Impact and Hospital Capacity Data System [7]. This
- system was created during the COVID-19 pandemic to gather a complete and unified
   representation of COVID-19 disease outcomes along with other metrics related to health care
- 102 capacity. Hospitals registered with Centers for Medicare and Medicaid Services (CMS) are
- required to report daily COVID-19 and influenza information [8]. Reporting of the influenza data
- elements, including the previous day's number of admissions with laboratory-confirmed
- 105 influenza virus infection, became mandatory on February 2, 2022, [8].

The COVID-19 pandemic disrupted the typical timing, intensity, and duration of seasonal 106 influenza activity in the United States and many parts of the world [9, 10]. Influenza activity was 107 very low during the 2020–21 season in the U.S., but activity increased during the 2021–22 108 season, with activity peaking later in April, May, and early June 2022 and remaining at higher 109 110 levels than had been reported during these months in previous seasons [10]. In the 2022-23 influenza season, activity began increasing nationally in early October, earlier than previous 111 seasons [2,3,11], and peaked in early December 2022. In this analysis, we summarize the 112 113 accuracy and reliability of ensemble and component 1- to 4-week ahead forecasts submitted in real-time during the 2021-22 and 2022-23 influenza seasons and identify areas for forecast 114 115 improvement.

#### **Methods** 117

118 Forecasts of weekly influenza hospital admissions were openly solicited from existing COVID-19

119 and influenza forecasting networks every Monday from January 10, 2022, through June 20,

2022, for the 2021-22 season. For the 2022-23 season, forecasts were solicited every Monday 120

from October 17, 2022, through January 9, 2023, then every Tuesday from January 17, 2023, 121

through May 17, 2023. Weeks were defined in terms of MMWR Epiweeks (EW) spanning 122 Sunday to Saturday [12]. Forecasted jurisdictions included the U.S. national level, all fifty states, 123

124 Washington D.C., and Puerto Rico. Forecasts for the Virgin Islands, while requested, were not

included in this evaluation due to low reported hospitalization counts and irregular data 125

126 submission. Each week, forecasting teams were asked to provide jurisdiction-specific point

127 estimates and probabilistic predictions for 1-, 2-, 3-, and 4-week ahead weekly counts of

confirmed influenza hospital admissions. A total of 23 quantiles were requested for the 128

probabilistic forecasts: 0.010, 0.025, 0.050, 0.100, 0.150, ..., 0.950, 0.975, and 0.990. Teams 129

were not required to submit forecasts for all four weeks ahead or for all locations. Additional 130 details of the forecast submission process (e.g., file formatting, submission procedures, and

131 required metadata) are provided in the FluSight-forecast-data GitHub Repository [13].

- 132
- 133

134 The FluSight Ensemble model was generated for all forecasted jurisdictions each week using

the unweighted median of each quantile among eligible forecasts. Forecasts were considered 135

eligible for inclusion in the ensemble if they were submitted by 11:59 PM ET on the due date 136

137 and if all requested quantiles were provided. Modeling teams could further designate whether a

particular model's forecasts should be included in the ensemble. If a forecast was designated as 138

- 139 "other", it was not included in the FluSight ensemble and not evaluated in this manuscript.
- 140

Baseline forecasts and their prediction intervals were generated each week using the simplets R 141 142 package [14] based on the incident hospitalizations reported in the previous week. The median

prediction of the baseline forecasts is the corresponding target value observed in the previous 143

144 week, and noise around the median prediction is generated using positive and negative 1-week

differences (i.e., differences between consecutive reports) for all prior observations, separately 145

146 for each jurisdiction. Sampling distributions were truncated to prevent negative values. The same median prediction is used for the 1-through 4-week ahead forecasts. Further details on 147

the generation of the baseline model's prediction intervals from a smoothed version of this 148

149 distribution of differences have been described previously [15,16].

150

For inclusion in this analysis, forecasting teams must have submitted greater than or equal to 151 75% of the requested targets between the forecast evaluation period of February 21, 2022, to 152 June 20, 2022 (total of 18 weeks) for 2021-22 or October 17, 2022, to May 15, 2023 (total of 30 153 154 weeks) for 2022-23. These periods translate to 4-week ahead forecast target end dates of 155 March 19, 2022, to July 16, 2022 for the 2021-22 season and November 11, 2022, to June 10, 156 2023 for the 2022-23 season. The start date of the evaluation period for the 2021-22 season 157 was chosen to be the first forecast date following two weeks of mandatory reporting of 158 confirmed influenza hospitalizations [8] to minimize potential effects of reporting changes on forecasts. For 2021-22 and 2022-23, three and 12 models were excluded from the primary 159 analysis, respectively, for not meeting the inclusion criteria. 160

161

162 Forecasts were evaluated against the reported number of the previous day's laboratory

confirmed influenza admissions (Field #34) from the COVID-19 Reported Patient Impact and 163

Hospital Capacity by State Timeseries [17], with data shifted one day earlier to align with 164

admission date and then aggregated to the weekly scale (from Sunday to Saturday) [13], using 165

166 data as of September 12, 2022, for 2021-22 and June 13, 2023, for 2022-23. This dataset is 167 subject to revision by submitting facilities; therefore, we analyzed backfill and revision for each season (Supplemental Analysis 1). For each of the contributed forecasts included in the 168 169 analysis, values were rounded to more closely relate the values of prediction intervals of 170 forecasts to the reported numbers of hospital admissions. In particular, forecast values for quantiles less than 0.5 were rounded down, values for quantiles greater than 0.5 were rounded 171 up, and values for the 0.5 quantile were rounded normally. This rounding procedure ensured 172 that teams were not penalized for missing the prediction interval by less than one hospital 173 admission. 174

175

176 To evaluate forecast performance across all states, D.C., and Puerto Rico, we primarily used the Weighted Interval Score (WIS). The WIS is a proper score that generates interval scores for 177 probabilistic forecasts provided in the quantile format [15,18]. Briefly, interval scores are used to 178 account for dispersion, underprediction, and overprediction. Forecasts with lower absolute WIS 179 180 values are considered more accurate than forecasts with higher absolute WIS values. The relative WIS compares forecast WIS values from those of the baseline model. Simple means 181 182 were calculated for absolute and relative WIS to get a score for each model, location, and season. Mean absolute error (MAE) values are also considered for characterizing differences 183 between forecasted and reported weekly hospitalizations [15]. Unless otherwise specified, 184 185 forecasts of national hospitalizations were not included in summary metrics for accuracy (e.g., 186 absolute WIS) since these forecasts can have a disproportionate impact on the overall score. To 187 address concerns related to assessing measures of absolute error on a natural scale when 188 forecasts span multiple orders of magnitude [19], we performed an analogous analysis on logtransformed hospitalization counts after adding one to all counts to account for zero counts 189 (Supplemental Analysis 2). We also performed a separate analysis including only national 190

191 forecasts (Supplemental Analysis 3).

192

In addition, we considered coverage values of the quantile-based prediction intervals to assess
each model's ability to appropriately capture uncertainty in forecasts. Coverage values are
defined as the percent of observed values that fall within the 50% or 95% prediction intervals for
the corresponding date. Ideally, the percent coverage values will be equal to the corresponding
prediction interval, e.g., 95% percent prediction intervals should contain the reported value 95%
of the time.

199

200 Comparing model forecasts is complicated by the fact that not all models submit forecasts for 201 each of the forecast targets and for each forecast week in the evaluation period. To partially account for this, we consider the percent of forecasts submitted as an indicator of how often and 202 how many different types of forecasts were submitted by each team. Following Cramer et al. 203 204 2022 [15], we also consider a standardized rank score that uses the number of models 205 forecasting a particular location and target and then ranks these forecasts. Ranks were determined by relative WIS performance, with the best performing model for each observation 206 being assigned a rank of 1 and the worst performing model receiving a rank equal to the 207 208 number of models submitting a forecast for the observation. These ranks were standardized by 209 rescaling so that 0 corresponds to the worst rank and 1 corresponds to the best rank. 210

211

#### 212 **Results**

- 213 The 2021-22 influenza season was characterized by two distinct waves of activity. The first
- occurred between November 2021 and January 2022 and the second between February and
- June 2022, though reporting of influenza hospitalizations was not mandatory in the HHS system
- until February 2, 2022 (see observed data in Figure 1a). Reported national weekly influenza
- hospital admissions exceeded 1000 for 22 out of 25 of the forecast weeks (Figure 1a). Updates
- to weekly counts from the forecast evaluation period were generally minimal (Figures S2 S4),
- with 94% of updates during the 2021-22 season resulting in changes of under 10
- 220 hospitalizations for subnational jurisdictions.
- The 2022-23 influenza season was characterized by an early start, reaching 1000 hospital
- admissions nationally before October 2022. A sharp increase nationally through October and
- November led to a peak of 26,600 hospital admissions in early December. Hospital admissions
- decreased rapidly after December, with 3,000 weekly hospital admissions by the end of
- January, and eventually dropped below 1000 confirmed weekly admissions nationally by May
- 226 2023. Weekly numbers of admissions exceeded 1000 for 27 out of 34 of the forecast weeks
- (Figure 1b, Figure S4). In the 2022-23 season, 83% of updates for weekly admissions resulted
- in changes of under 10 hospitalizations for subnational jurisdictions.

## 229 Models Included

- For both the 2021-22 and 2022-23 influenza seasons, 26 modeling teams submitted forecasts
- and 21 and 16 respectively, were eligible for end-of-season evaluation, not including the
- FluSight baseline and ensemble models. The number and types of models submitted varied
- across weeks with a range of methodological approaches (see Table S1). For the 2021-22
- season, a median of 21 models were submitted (range: 15-22), with most having a statistical
- component, three mechanistic, and six ensembles of component models. In 2022-23 there was
- a median of 20 models (range: 15 to 26) submitted each week, with many having a statistical
- component, three mechanistic, and four ensemble models. Modeling teams varied across
   seasons, with 13 modeling groups having submitted eligible forecasts for both seasons. When
- only national forecasting targets were considered, no additional teams were included for the
- 240 2021-22 season, but two teams, NIH-Flu ARIMA and ISU NiemiLab-Flu met inclusion criteria
- for 2022-23 (Supplemental Analysis 3).

## 242 Relative WIS

243 Over the evaluation period more models outperformed the FluSight baseline model in 2022-23 (12) than in 2021-22 (6) based on relative WIS (Table 1). Within each season, the models that 244 achieved an overall relative WIS less than or equal to one represent a variety of modeling 245 strategies, including a basic guantile autoregression fit, a mechanistic compartmental model 246 247 with stochastic simulations, an ensemble of time-series baseline models, a random walk model, a random forest ensemble, and the FluSight hub ensemble (Table S1). Similar results were 248 249 observed when models were evaluated based on their point forecasts alone (see MAE 250 estimates in Table 1). 251

- 252 Few teams outperformed the FluSight Ensemble in relative WIS for both seasons. The CMU-
- TimeSeries model was the only model that outperformed the ensemble for both the 2021-22
- and 2022-23 seasons while the MOBS-GLEAM\_FLUH, PSI-DICE and MIGHTE-Nsemble
- models outperformed the ensemble only in the 2022-23 season.
- 256

For both seasons, forecasts from the FluSight Ensemble were ranked among the top 50% of all
model forecasts for the same location, date, and target, more than three-fourths of the time
(79.89% in 2021-22 and 79.02% in 2022-23) (Figure 2). Three models consistently ranked in the
top 25% for 2021-22 and 2022-23 seasons, respectively: CMU-TimeSeries (42.49%, 36.32%),
PSI-DICE (39.24%, 39.84%), and MOBS-GLEAM\_FLUH (38.89%, 50.31%). Several models,
ten in 2021-22 and eleven in 2022-23, had bimodal rank distributions, with a combined majority
of their forecasts falling in either the bottom 25% or top 25% (Figure 2).

264

#### 265 Log-Transformed Analysis

For both seasons, the analysis using log-transformed hospitalization counts resulted in the same top five performing teams in terms of absolute and relative WIS. For the 2021-22 season, all teams were ranked the same for the log-transformed and non-transformed analyses. In 2022-23, MIGHTE-Nsemble and PSI-DICE performed better than CMU-TimeSeries for the logtransformed analysis (Table 1 and Supplemental Analysis 2).

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#### 272 Relative WIS and Spatial Variation

273 Model performance varied by spatial jurisdiction. For individual states, relative WIS values 274 varied across models ranging from 0.46 to 12.46 in 2021-22 and 0.31 to 12.28 in 2022-23 275 (Figure 3). More models, including the ensemble, performed better at the state-level than the 276 baseline in 2022-23 compared to 2021-22. The relative WIS of the FluSight Ensemble had the 277 smallest range of values across all locations from 0.58 to 1.06 in 2021-22 to 0.63 to 1 in 2022-278 23 (Figure 3 and Figure S1). To further examine forecast performance across jurisdictions, we considered the percent of jurisdictions that the relative WIS value for a given model and location 279 280 pair was less than the baseline (i.e., lower than 1). The FluSight Ensemble performed as well as 281 or better than the baseline for all forecast jurisdictions for 2022-23 and 51 out of 52 forecast jurisdictions for 2021-22, a larger number of jurisdictions than any submitted model (Figure 3). 282 In 2022-23. 12 models performed better than the baseline at the jurisdiction-level at least 50% 283 of the time, compared to six models in 2021-22. In general, the models with lower (better) 284 285 relative WIS values were consistent between the analysis with all spatial jurisdictions and the 286 analysis considering only national forecast targets for both seasons (Supplemental Analysis 3). 287 288

289 Absolute WIS

Across forecasted weeks, the FluSight Ensemble's worst performance in terms of absolute WIS (maximum values) for 1-week ahead targets on March 19, 2022 for 2021-22 and on November 26, 2022 for 2022-23 (Figure 4a). For the 4-week ahead horizon, maximum absolute values, indicating the worst performance, for each season occurred on June 04, 2022, and December 03, 2022, respectively (Figure 4a). Minimum, or best, absolute WIS values for each season occurred on July 16, 2022, and May 13, 2023, respectively, both during periods of low flu activity.

297 298

#### 299 **Coverage**

300 Model performance for the FluSight Ensemble dropped during periods of relatively rapid change

301 (see Figures 1 and 3). The lowest 1-week horizon 95% value occurred for forecasts with target

- 302 end dates of March 14, 2022, for 2021-22 and on November 21, 2022, for 2022-23 (Figure 4b,
- 303 c). Across forecasted weeks in the 2021-22 season, the FluSight Ensemble had a minimum
- 304 95% coverage value at the 1-week horizon of 75%. Lower 95% coverage for the 1-week horizon

was observed in the 2022-23 season with a minimum of 29%. The maximum coverage rate
 achieved by the FluSight Ensemble in any individual week was 100% in both seasons. Minimum
 FluSight Ensemble 95% coverage values for forecasts at the 4-week horizon in any individual
 wask wars 62% for 2021 22 and 45% for 2022 22

308 week were 62% for 2021-22 and 15% for 2022-23.

309

310 Model performance, in terms of coverage, tended to decline at longer time horizons for the FluSight Ensemble, baseline, and individual contributed models (see Table 2). Over the forecast 311 312 weeks, the 2021-22 FluSight ensemble had slightly higher overall 95% coverage values of 313 89.32%, 86.11%, 85.15%, and 83.33% for the 1- to 4-week ahead horizons respectively, compared to the 2022-23 season during which the FluSight Ensemble had 95% coverage 314 values of 85.79%, 81.64%, 78.78%, and 77.85% for the 1- to 4-week ahead horizons 315 316 respectively. A similar proportion of models had higher overall 95% coverage values at the 1-317 week ahead horizon than at the 4-week ahead horizon for 2022-23 (14 of 18 models) and 2021-318 22 (18 out of 23 models) (Table 2). Out of the forecast targets and across forecast weeks, the 319 FluSight Ensemble's 95% prediction interval contained at least 90% of the corresponding observed values only 55.56% and 64.52% of the time, for 2021-22 and 2022-23 respectively 320 321 (Table 2). Ideally 95% prediction intervals are just wide enough to capture 95% of eventually

- 322 observed values.
- 323 324

#### 325 **Discussion**

326 The 2021-22 influenza season marked the return of from very low levels of seasonal influenza 327 activity observed in the U.S. following the first years of the COVID-19 pandemic, and many 328 components of the 2021-22 and 2022-23 FluSight Forecasting Challenges were new. One of the most substantial changes was the shift from the original FluSight forecasting targets of 329 330 weekly influenza-like-illness (ILI) percentages to weekly counts of confirmed influenza 331 hospitalizations. The COVID-19 pandemic resulted in the availability of a new data source, the unified HHS-Protect dataset [17], which provided information on laboratory confirmed daily 332 333 influenza hospitalizations from all 50 states, D.C., and Puerto Rico. Confirmed influenza hospital 334 admissions may more directly inform influenza preparedness and response efforts. During the time period that these forecasting results cover, data were reported daily, with mandatory 335 336 reporting for influenza admissions from most hospitals in each state, U.S. territories, and D.C. 337 starting February 2, 2022. Despite challenges accompanying the shift to the new target of influenza hospitalizations, such as limited historic data from this system for model training, these 338 forecasts provided substantial utility and reinforced a number of lessons learned over the course 339 of previous forecasting activities, both during the pre-pandemic influenza seasons and the 340 341 COVID-19 pandemic.

342

#### 343 Forecast performance - accuracy

- 344 As demonstrated in this analysis, collaborative forecasting hub approaches provide
- 345 opportunities to systematically evaluate performance across multiple modeling strategies and
- enable the creation of ensemble models. Since a particular model's performance often varies
- within and across seasons [20], it is helpful to have a unified representation of model inputs that
- 348 can be used to quickly assess expected upcoming trends. Additionally, this work indicates that
- 349 ensemble models may also provide more consistently reliable and well-calibrated forecasts
- 350 across spatial jurisdictions.
- 351
- Across the evaluation period for both seasons and all forecast jurisdictions, the FluSight
- ensemble was among the top 5 performing models in terms of Absolute WIS and Relative WIS.

354 Additionally, when considering forecast performance by rank (Figure 2), the FluSight ensemble 355 more accurately predicted weekly influenza hospital admissions than most contributed models 356 with the majority of the FluSight ensemble forecasts falling within the top 50% of submitted 357 forecasts (Table 1, Figure 2). While the PSI-DICE, CMU-TimeSeries, and MOBS-GLEAM FLUH models have more forecasts in the top 25%, they exhibit higher spatial 358 heterogeneity than the FluSight ensemble in forecast performance (Figure 3). The generally 359 high accuracy of the FluSight Ensemble relative to that of individual models is consistent with 360 previous findings that ensemble models, that utilize the outputs from multiple teams, generally 361 362 outperform individual models on average [15,21,22,23]. Like most models, ensembles may have decreased performance during periods of rapid change when some individual models may have 363 higher accuracy; however, identifying these time frames and corresponding high-performing 364 365 models has been difficult a priori [5,6]. 366 One option to better evaluate forecast performance during periods of change and across 367 multiple magnitudes is to evaluate transformed counts [19]. We did not find notable differences 368 in model performance using this approach in either season. We expected that there might be a 369 stronger influence on performance in the 2022-2023 season which saw a sharp increase in 370 371 hospitalizations in fall 2022, but it is possible that models were not able to capture this initial rise

- and thus did not accrue additional benefit in the log transform score. The long tail of the season
- 373 may also have elevated scores across all models.
- 374

Forecast model performance tended to decline over longer time horizons. For both the 2021-22 and 2022-23 FluSight seasons, accuracy declined across the 1- to 4-week ahead horizons. This trend has been observed previously in multiple forecast activities. The U.S. COVID-19 Forecast Hub observed declines in accuracy for forecasted deaths over periods of 1- to 4- weeks ahead, and German and Polish COVID-19 forecast efforts also showed declines in performance at the 3- and 4-week ahead horizons [18]. Accuracy scores were also shown to decline over longer time horizons for influenza-like-illness forecasts [20].

382

Across the forecast weeks, individual models often showed larger increases in absolute WIS, while the FluSight ensemble had the smallest range of absolute WIS for each season,

demonstrating one aspect of stability for the FluSight ensemble. In terms of state-level
 performance, the FluSight ensemble tended to be more robust than individual models, as
 measured by relative WIS scores (Figure 3). Similarly, the COVID-19 Forecast Hub ensemble
 [15] performed better across all locations, with the COVID-19 Hub ensemble being the only

model to outperform the baseline in each of the forecast locations [15].

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## **394** Forecast performance – coverage

Our analysis found that, as the forecast horizon moved from 1- to 4-weeks, the FluSight ensemble 95% prediction interval coverage declined from 89.61% to 83.74% in 2021-22 and from 85.69% to 77.85% in 2022-23. These results highlight room for improvement in model calibration, as almost all models (with the exception of the UMass trends ensemble) were overconfident in their predictions (Table 2). The lack of comparable historical data for model fitting may have contributed to poor calibration of 95% prediction intervals.

401

402 Consistent with past forecasting efforts, forecasting remains difficult in periods of rapid change
 403 and epidemic turning points (e.g., during initial increases or periods of peaking activity). This

404 analysis highlights declines in forecast accuracy and coverage during periods of rapid change in influenza hospitalizations during both the 2021-22 and 2022-23 seasons. For example, the only 405 model that had 95% coverage greater than 80% from October to January 2023 when 406 407 hospitalizations were rapidly increasing and then peaking was LUCompUncertLabhumanjudgment, which did not end up meeting inclusion criteria for the full season analysis. 408 Analogous declines were also observed for COVID-19 case forecasts [24] and mortality 409 forecasts across different waves of the COVID-19 pandemic [15], where forecasts 410 systematically underpredicted during periods of increase and overpredicted during periods of 411 412 decrease. 413 414 Times of changing dynamics are the most important periods for public health response and 415 communication. While forecasting the magnitude at these times may be less tractable, it is possible that we may be able to provide more reliable information during these difficult 416 forecasting periods so that forecasts are better able to inform critical planning. In general, most 417 ensembles tend to predict less activity than observed when trends are steeply increasing and 418 419 predict more activity than observed when trends are steeply decreasing, especially when there is between- or within-model uncertainty in the timing of peaks in cases, hospitalizations, or 420 421 deaths. Thus, it may be possible that an ensemble of forecasts for categorical increases or 422 decreases in activity [25] may have additional utility in terms of preserving valuable information 423 while also maintaining the benefits of the use of ensembles over individual models. As such, the 424 FluSight Forecasting Hub added an experimental target in the 2022-23 season for forecasting categorical rate changes in influenza hospitalizations (e.g., probabilities of increase or 425 426 decrease) [13]. Assessing the utility of this additional forecast target will be an important area of investigation moving forward. Aside from soliciting a separate forecasting target, it may be 427 possible to determine which forecasting models perform better during different phases of 428 epidemics and then use this information to weight models accordingly when their forecasts are 429

- 430 aggregated into an ensemble [26].
- 431

#### Influenza forecasting in the COVID-19 era: challenges and opportunities 432

Several challenges for forecasting existed during the 2021-22 and 2022-23 influenza seasons. 433 434 First, as noted earlier, the change in the forecasting target from outpatient ILI percentages to 435 counts of influenza-associated hospitalizations from a data collection system established during the COVID-19 pandemic meant that there was little data for forecast calibration and training. 436 437 This shift also required changes in data processing for teams that had produced ILI forecasts previously. While previous data on influenza-associated hospitalizations was available through 438 439 the FluSurv-NET system, differences in reporting and the spatial resolution, of the FluSurv-NET system may have complicated the process of utilizing this dataset for the purpose of forecasting 440 model calibration. In addition, reporting within the unified HHS-Protect hospitalization dataset 441 changed throughout this forecasting endeavor. For example, the confirmed influenza hospital 442 admissions field only became mandatory for the 2021-22 season on February 2, 2022, leading 443 to an increase in the number of reported hospitalizations and a change in hospital reporting 444 445 practices during a period of increasing influenza activity. 446 In addition to changing reporting patterns, the COVID-19 pandemic brought other challenges for 447

forecasting influenza, including changing human behavior. The quantity and types of 448 interactions between people likely changed in tandem with perceptions of risk of illness with 449

450 COVID-19. In addition, the use of nonpharmaceutical interventions (NPIs) aimed at preventing

SARS-CoV-2 transmission (e.g., stay-at-home orders, mask wearing) reduced transmission of 451

452 other respiratory pathogens [9], including influenza. These changes in behavior may be related 453 to the minimal influenza activity observed in the U.S. in the 2020-21 season and the low 454 severity but atypically late influenza season observed in the 2021-22 season. Population-level 455 behavior is difficult to predict, especially in the context of changing public health 456 recommendations and emerging SARS-CoV-2 variants, which complicated the process of forecasting. Despite these challenges, FluSight forecasting teams provided forecasts of 457 confirmed influenza hospitalizations throughout each season, which helped public health 458 459 officials anticipate trends during the unusually prolonged influenza season in 2021-22, with 460 forecasting efforts extending into June, and then again for the atypically early 2022-23 season. 461 462 463 While the shift to forecasting for a new target presented a modeling challenge, the utility of the 464 corresponding new data source should be recognized. The HHS-Protect dataset [7] provided, in addition to the state-level timeseries, facility-level data, which is at a higher spatial resolution 465 than other indicators of influenza activity. During the forecasting time frame analyzed here, the 466 data were also reported daily with previous day admission data published as soon as the day 467 after their occurrence, providing a timely source of information. As our data update analysis 468 (Figures S2 – S4) shows, these data demonstrated remarkably stable reporting behavior, 469 470 particularly during the 2021-22 season, with 94% of updates resulting in changes of under 10 hospitalizations for subnational jurisdictions. Stability of reporting decreased slightly during the 471 472 2022-23 season, with 83% of updates resulting in changes of under 10 hospitalizations for 473 subnational jurisdictions. Degraded forecast performance has been associated with large

revisions to initially observed values [6], and consistency in reporting is an important component 474 475 of a reliable forecasting target. Additionally, this dataset provided national and jurisdictional-level data for confirmed influenza hospital admissions. In contrast with ILI, this indicator eliminated 476 the need to model outpatient visits associated with co-circulating non-influenza pathogens that 477 can cause ILI. Continued availability of rapid, disease-specific indicators of hospitalization, such 478 479 as those provided by these data, will facilitate improved forecasting utility and possibly improvements in accuracy [27], particularly when forecasts are informed by mechanistic 480 481 transmission models.

482

483 The FluSight forecasting collaboration adapted quickly in 2021 to utilize a novel laboratory 484 485 confirmed influenza hospital admission dataset. Even with limited calibration data and atypical influenza seasonality in the 2021-22 and 2022-23 seasons, the FluSight ensemble forecast 486 provided more robust forecasts than individual component models across spatial jurisdictions 487 488 and time horizons. This result mirrors those of other forecasting hubs. Collaborative hubs also offer the ability for frequent feedback and interaction between modeling teams, providing 489 opportunities for rapidly sharing observations about underlying data and insights for forecast 490 development [28]. We observed poor coverage and general performance especially at the 491 beginning of the 2022-23 season and during other periods of rapid change. Collective insights 492 from these challenges can also inform when forecasts should be interpreted with extra caution. 493 494 Ongoing availability of the confirmed influenza hospitalization dataset, which covers all states, 495 could improve model calibration and ultimately contribute to the improvement of influenza forecast performance and utility, as well as continued exploration and improvement of 496 497 forecasting and ensembling methodologies. These improvements are needed, particularly to more accurately capture trends and appropriate levels of uncertainty during times of rapid 498 499 change. 500

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# 504 Tables and Figures

505	Table 1: Performance metrics for teams submitting at least 75% of weekly FluSight targets.
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Model	Absolute WIS	Relative WIS	MAE	50% Coverage (%)	95% Coverage (%)	% of Forecasts Submitted	Log Absolute WIS	Log Relative WIS
2021-22				(70)	(70)			
CMU-TimeSeries	12.54	0.74	18.92	47	90	100	0.31	0.78
Flusight-ensemble	13.86	0.82	20.79	48	86	100	0.33	0.83
PSI-DICE	14.03	0.83	20.17	43	82	100	0.33	0.84
UMass- trends_ensemble	14.35	0.84	22.24	71	97	100	0.36	0.91
Sgroup- RandomForest	15.45	0.91	23.87	47	95	100	0.38	0.97
CEID-Walk	15.63	0.94	22.19	52	82	89	0.39	0.99
Flusight-baseline	16.99	1.00	24.10	49	83	100	0.40	1.00
GT-FluFNP	17.57	1.02	23.40	39	69	96	0.38	0.98
MOBS- GLEAM_FLUH	17.17	1.03	22.25	32	63	91	0.42	1.08
SigSci-TSENS	17.79	1.03	24.86	38	72	96	0.40	1.01
IEM_Health- FluProject	17.69	1.04	23.98	50	85	100	0.40	1.02
CU-ensemble	18.32	1.08	25.41	44	77	100	0.39	0.98
LucompUncertLab- TEVA	21.02	1.22	29.99	54	86	89	0.41	1.05
UVAFluX-Ensemble	21.65	1.28	25.76	38	64	99	0.45	1.14
LucompUncertLab- VAR2_plusCOVID	22.03	1.30	28.99	42	74	94	0.42	1.08
UT_FluCast-Voltaire	23.64	1.39	35.19	50	91	99	0.45	1.14
LucompUncertLab- VAR2K_plusCOVID	24.44	1.42	32.43	42	74	89	0.47	1.20
LucompUncertLab- VAR2	25.93	1.53	35.05	39	72	94	0.53	1.35
LucompUncertLab- VAR2K	26.81	1.55	39.35	42	83	89	0.61	1.56
LosAlamos_NAU- Cmodel_Flu	28.69	1.69	36.14	26	59	100	0.63	1.60
Sgroup-SikJalpha	28.94	1.70	38.59	18	46	100	0.49	1.24
GH-Flusight	30.93	1.82	31.89	6	13	94	0.74	1.88
SigSci-CREG	27.36	1.93	31.00	19	44	89	0.80	2.03
2022-23								
MOBS- GLEAM_FLUH	42.20	0.61	57.97	42	78	94	0.37	0.65
CMU-TimeSeries	44.48	0.67	65.94	49	87	94	0.41	0.70
PSI-DICE	47.45	0.70	63.17	48	80	100	0.42	0.71
MIGHTE-Nsemble	48.99	0.72	67.50	53	82	96	0.41	0.70
Flusight-ensemble	51.72	0.76	71.04	56	81	100	0.44	0.74
Umass- trends_ensemble	53.86	0.80	79.40	63	89	100	0.49	0.83

	Absolute	Relative		50% Coverage	95% Coverage	% of Forecasts	Log Absolute	Log Relative
Model	WIS	WIS	MAE	(%)	(%)	Submitted	WIS	WIS
GT-FluFNP	59.75	0.81	72.88	56	75	89	0.53	0.89
CEPH-Rtrend_fluH	54.20	0.83	70.47	44	78	90	0.58	1.05
Sgroup- RandomForest	54.29	0.83	75.98	53	84	97	0.52	0.88
CU-ensemble	62.23	0.85	75.57	51	70	84	0.51	0.85
UGA_flucast- Okeeffe	62.13	0.94	77.33	50	72	95	0.61	1.02
SigSci-TSENS	64.27	0.96	80.02	58	74	93	0.66	1.09
Flusight-baseline	67.69	1.00	80.05	49	74	100	0.59	1.00
VTSanghani- ExogModel	72.30	1.00	92.56	30	61	81	0.63	1.05
UNC_IDD-InfluPaint	61.14	1.01	77.90	40	75	79	0.52	0.94
UVAFluX-Ensemble	78.71	1.11	94.45	22	41	95	0.61	1.03
SigSci-CREG	79.68	1.36	89.29	38	62	91	0.68	1.16
JHU_IDD-CovidSP	129.16	1.91	174.98	48	80	81	0.49	0.82

506

507 The Absolute WIS column refers to the Weighted Interval Score for each model across all fifty states, D.C., and 508 Puerto Rico forecast targets. The Relative WIS compares the WIS value of each model to the Flusight-baseline 509 model. All models with a relative WIS score less than one outperformed the baseline model when evaluated solely 510 upon WIS. 95% and 50% coverage values are provided for the percent of times that reported weekly incidence 511 values were within the 95% or 50% prediction intervals respectively, across all the forecast targets submitted by each 512 team. The percent of forecasts submitted is determined by the number of forecast targets submitted by each team out 513 of all possible forecast targets occurring within the duration of the evaluation period.

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515

517 Table 2: One-to-four-week coverage and one-to-four-week percent of coverage above 90% for

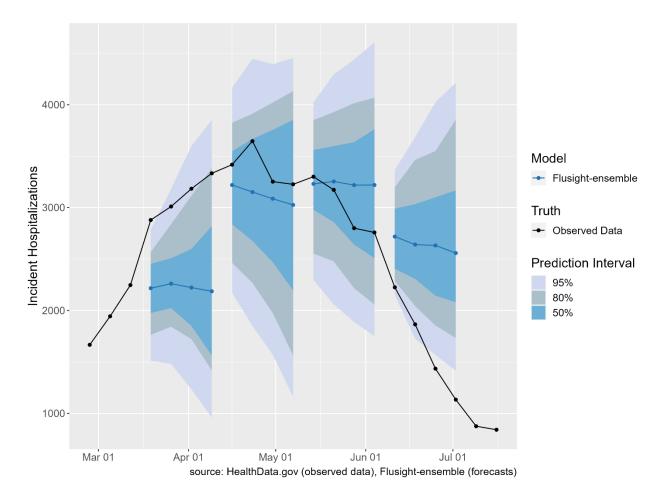
518 teams meeting inclusion criteria.

	Relative	% WIS Below	Coverage				% Coverage above 90			
Model	WIS	Baseline	1 Wk	2 Wk	3 Wk	4 Wk	1 Wk	2 Wk	3 Wk	4 Wk
2021-22										
CMU-TimeSeries	0.74	75.00	90.17	91.45	90.60	86.54	50.00	72.22	61.11	27.78
Flusight-ensemble	0.82	92.31	89.32	86.11	85.15	83.33	55.56	33.33	27.78	38.89
PSI-DICE	0.83	76.92	88.89	83.87	78.31	76.50	38.89	27.78	5.56	0.00
Umass- trends_ensemble	0.84	48.08	96.15	97.65	96.90	96.15	100.00	100.00	100.00	100.00
Sgroup- RandomForest	0.91	44.23	95.41	94.87	94.66	94.12	88.89	88.89	83.33	88.89
CEID-Walk	0.94	80.77	82.09	83.77	81.01	81.85	37.50	37.50	31.25	37.50
Flusight-baseline	1.00	0.00	82.26	84.19	82.48	81.62	27.78	22.22	22.22	22.22
GT-FluFNP	1.02	54.00	70.11	68.67	68.22	70.11	5.56	16.67	16.67	22.22
MOBS- GLEAM_FLUH	1.03	60.00	71.11	65.80	59.79	56.49	0.00	0.00	0.00	0.00
SigSci-TSENS	1.03	46.00	74.11	73.44	70.54	69.20	11.11	5.56	5.56	5.56
IEM_Health- FluProject	1.04	48.08	91.45	86.54	82.59	78.21	72.22	38.89	22.22	22.22
CU-ensemble	1.08	32.69	79.59	80.66	76.50	71.90	16.67	11.11	0.00	0.00
LucompUncertLab- TEVA	1.22	32.69	84.86	85.58	86.06	86.18	25.00	18.75	25.00	31.25
UVAFluX-Ensemble	1.28	25.00	66.05	65.51	62.58	60.95	11.11	0.00	0.00	0.00
LucompUncertLab- VAR2_plusCOVID	1.30	36.54	76.70	74.77	73.30	70.14	17.65	5.88	5.88	5.88
UT_FluCast- Voltaire	1.39	5.77	94.73	90.96	89.13	90.42	83.33	72.22	55.56	61.11
LucompUncertLab- VAR2K_plusCOVID	1.42	25.00	75.72	75.24	74.04	72.72	6.25	0.00	0.00	0.00
LucompUncertLab- VAR2	1.53	9.62	73.87	72.29	72.17	70.81	11.76	5.88	11.76	11.76
LucompUncertLab- VAR2K	1.55	9.62	81.97	81.49	83.05	85.46	6.25	18.75	25.00	37.50
LosAlamos_NAU- Cmodel_Flu	1.69	13.46	65.28	59.29	56.52	54.06	5.56	0.00	0.00	0.00
Sgroup-SikJalpha	1.70	1.92	40.28	45.73	48.08	48.29	0.00	0.00	0.00	0.00
GH-Flusight	1.82	5.77	18.33	12.90	11.99	10.63	0.00	0.00	0.00	0.00
SigSci-CREG	1.93	12.00	46.87	43.98	43.86	43.13	0.00	0.00	0.00	0.00
2022-23										
MOBS- GLEAM_FLUH	0.61	94.12	81.34	77.50	76.84	77.67	41.94	29.03	29.03	23.33
CMU-TimeSeries	0.67	86.54	86.27	87.12	87.25	86.31	58.06	64.52	70.97	70.00
PSI-DICE	0.70	92.31	88.03	81.27	77.17	74.87	64.52	67.74	64.52	60.00
MIGHTE-Nsemble	0.72	90.38	86.16	84.22	81.71	76.00	63.33	60.00	66.67	58.62
Flusight-ensemble	0.76	100.00	85.79	81.64	78.78	77.12	64.52	67.74	64.52	60.00

	Relative	% WIS Below	Coverage			% Coverage above 90			90	
Model	WIS	Baseline	1 Wk	2 Wk	3 Wk	4 Wk	1 Wk	2 Wk	3 Wk	4 Wk
Umass- trends_ensemble	0.80	92.31	90.88	89.89	87.41	85.83	77.42	74.19	70.97	70.00
GT-FluFNP	0.81	96.00	75.98	72.70	75.00	77.30	55.17	55.17	55.17	65.52
CEPH-Rtrend_fluH	0.83	67.31	75.82	80.22	79.33	77.21	46.43	50.00	57.14	44.44
Sgroup- RandomForest	0.83	92.31	90.06	84.49	81.86	79.71	73.33	70.00	70.00	65.52
CU-ensemble	0.85	75.00	71.60	71.38	69.90	66.85	46.15	53.85	53.85	52.00
UGA_flucast- Okeeffe	0.94	58.82	80.20	73.07	69.02	65.86	50.00	46.67	40.00	37.93
SigSci-TSENS	0.96	42.00	76.31	74.12	72.93	70.35	54.84	54.84	54.84	56.67
Flusight-baseline	1.00	0.00	78.72	74.26	71.34	68.85	58.06	58.06	58.06	56.67
VTSanghani- ExogModel	1.00	51.92	65.62	61.54	58.00	57.29	0.00	0.00	0.00	4.17
UNC_IDD- InfluPaint	1.01	64.71	75.20	74.25	75.12	75.14	52.00	44.00	64.00	54.17
UVAFluX-Ensemble	1.11	11.76	42.81	43.53	39.35	39.42	0.00	0.00	0.00	0.00
SigSci-CREG	1.36	6.00	68.28	62.27	58.85	55.34	48.39	48.39	45.16	43.33
JHU_IDD-CovidSP	1.91	33.33	86.74	81.67	78.18	73.60	65.38	61.54	53.85	48.00

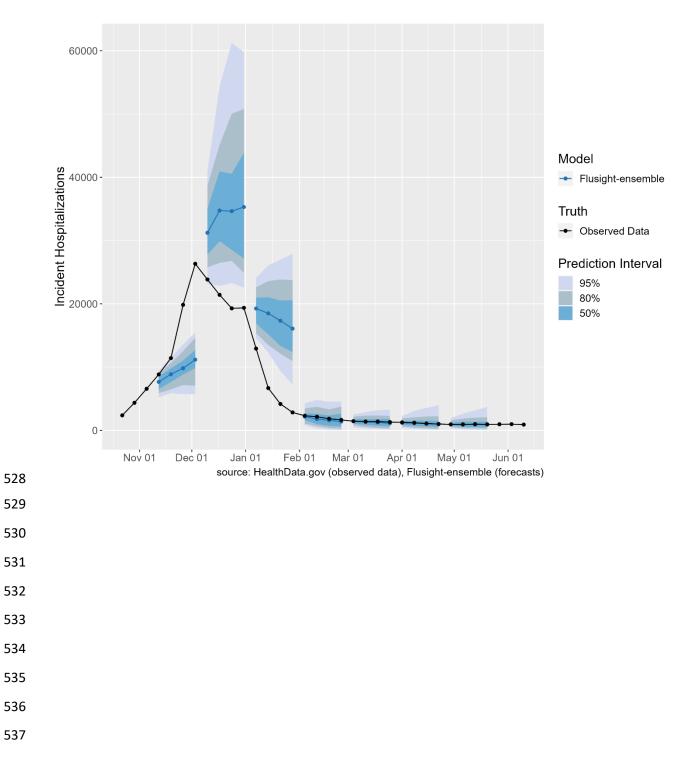
Table 2: % WIS Below Baseline shows the percent of WIS values for each model below the corresponding Flusight-baseline WIS. The '% Coverage above 90' columns show the percent of weekly 95% coverage values that are greater than or equal to 90% for each model by horizon. Modeling teams are ordered within each season by their relative WIS performance.

Figure 1: National weekly observed hospitalizations (black points) along with FluSight ensemble
forecasts for four weeks of submissions in the 2021-22 season (panel a) and seven weeks of
submissions in the 2022-23 season (panel b). The median FluSight ensemble forecast values
(blue points) are shown with the corresponding 50%, 80%, and 95% prediction intervals (blue
shaded regions).



a 2021-22

#### **b** 2022-23



538 Figure 2: Standardized rank of weighted interval score (WIS) over all forecast jurisdictions and 539 horizons (1- to 4-week ahead), for the FluSight ensemble and each team submitting at least

540 75% of the forecast targets (see Table 1 for qualifying teams and season metrics).

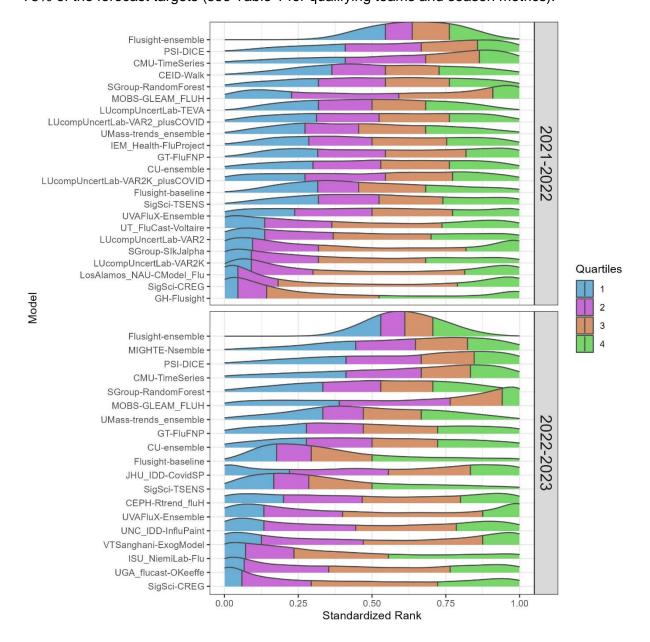
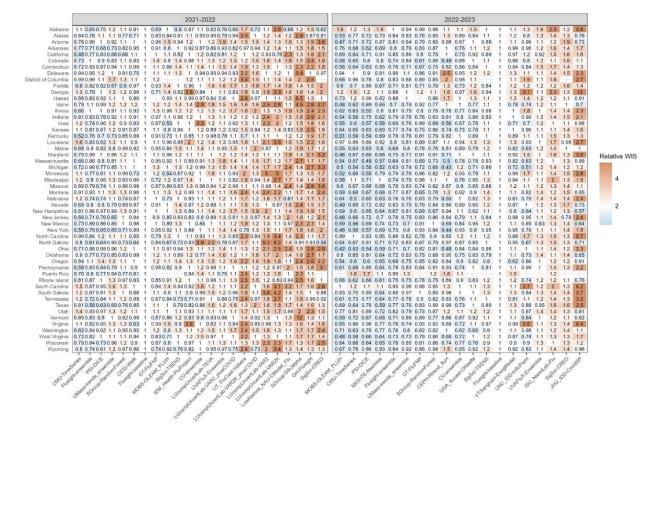


Figure 3: State-level WIS values for each team relative to the FluSight baseline model. The range of Relative WIS values below 1, in blue, indicate better performance than the FluSight baseline (white). Relative WIS values above 1, in red, indicate poor performance relative to the FluSight baseline. Teams are ordered on horizontal axis from lowest to highest Relative WIS values for each season. Analogous jurisdiction-specific relative WIS scores on log transformed counts are displayed in Figure S7.

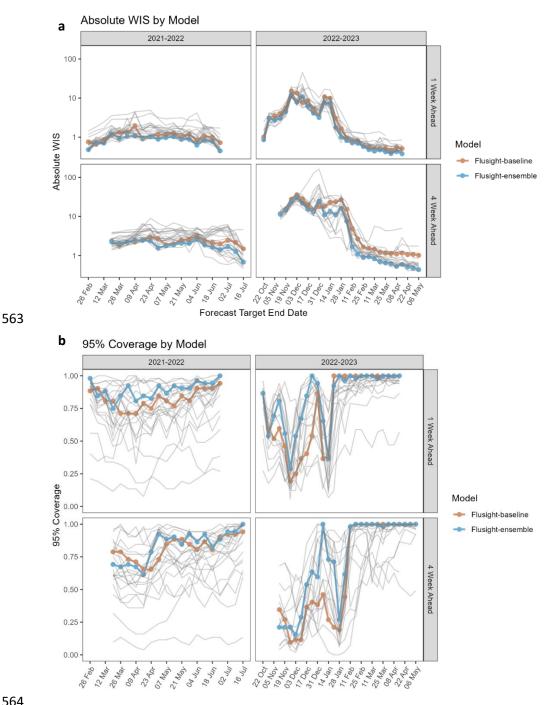
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Figure 4: Time series of log transformed absolute WIS (panel a) and 1- and 4-week ahead 95% 556 coverage (panel b) for state and territory targets. Note that the forecast evaluation period 557 translates to 1-week ahead forecast target end dates from February 26 to June 25, 2022, and 558 October 22, 2022, to May 20, 2023, and 4-week ahead forecast target end dates from March 19 559 to July 16, 2022, and November 5, 2022, to June 10, 2023. Weekly results for the FluSight 560 baseline and ensemble models are shown in red and blue respectively. Results for individual 561

contributing models are shown in light gray. 562



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## 637 **Disclaimers**

- Any use of trade, firm, or product names is for descriptive purposes only and does not imply
- endorsement by the U.S. Government. The findings and conclusions in this report are those of
- 640 the authors and do not necessarily represent the views of the Centers for Disease Control and 641 Prevention or the National Institutes of Health.
- 642 Data Availability

643	The forecasts from models used in this paper are available from the FluSight Forecast Hub
644	GitHub repository (https://github.com/cdcepi/Flusight-forecast-data) [13] and the Zoltar forecast
645	archive (https://zoltardata.com/project/299) [29]. These are both publicly accessible. The code
646	used to generate all figures and tables in the manuscript will be available in a public
647	repository (https://github.com/cdcepi/FluSight-manuscripts) at the time of publication. All
648	analyses were conducted using the R language for statistical computing (version 4.0.3) [30].
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